



SEM Capital Advisors Ltd

The First Choice of Every Investor

SEM Capital Management Ltd is licensed as an Investment Advisor and Fund Manager by the Securities & Exchange Commission and registered with the National Pensions Regulatory Authority as a Pension Fund Manager.



MUTUAL FUND INSTITUTIONAL APPLICATION FORM

1st Floor, Fidelity House, Ring Road Central, P. O. Box CT 2069, Accra; Ghana;
Tel: 233 (0) 30 223 8382 / 030 707 9256; Fax: 030 2240666; Email: capital@semcapitalgh.com;
Web: www.semcapitalgh.com

COMPANY PROFILE (PLEASE FILL IN BLOCK LETTERS AND TICK WHERE NECESSARY)

Category of Business: Limited Liability Company Partnership Sole Proprietorship Government Agency Pension Fund
 Limited Liability by Guarantee Church Club & Societies Endowment/Foundation
 other (please specify) _____

Company / Business Name _____

Nature of Business _____

Sector or Industry _____

Certificate of Incorporation/ Registration Number _____ Date of Incorporation ____ / ____ / ____
DD MM YYYY

Country Of Incorporation _____ Date of Commencement ____ / ____ / ____
DD MM YYYY

Postal Address _____

Business Residential Address _____

Tax Identification Number (OTHER COUNTRIES - SPECIFY) _____

Telephone Numbers (1) _____ (2) _____

Email Address _____

INVESTMENT DETAILS

Fund Name _____
 (If Fund Name is different from the Business Name stated Above)

Source of Fund _____

Initial Deposit: GHC _____ Amount in Words: _____

Annual Turnover: LESS THAN GHC 100,000 GHC 100,000 – GHC 500,000 GHC 500,001 – GHC 1,000,000 ABOVE GHC 1,000,000

KEY CONTACT PERSON DETAILS

Name _____
 (Mr./Mrs./Dr./Miss/Other) First Name Middle Name Surname

Date of Birth ____ / ____ / ____ Place of Birth _____
DD MM YYYY

Nationality _____ Marital Status _____

Residential Address _____

Telephone Numbers (1) _____ (2) _____

Email _____ Occupation _____

Job Title _____

Type of Identification _____ ID No: _____ Expiration Date ____ / ____ / ____
DD MM YYYY

Mother's Maiden Name _____
First Name Middle Name Surname

1. ACCOUNT SIGNATORY DETAILS

Name _____
(Mr./Mrs./Dr./Miss/Other) First Name Middle Name Surname

Date of Birth ____/____/____ Place of Birth _____
DD MM YYYY

Nationality _____ Marital Status _____

Residential Address _____

Telephone Numbers (1) _____ (2) _____

Email _____ Occupation _____

Job Title _____

Type of Identification _____ ID No: _____ Expiration Date ____/____/____
DD MM YYYY

Mother's Maiden Name _____
First Name Middle Name Surname

Signature

2. ACCOUNT SIGNATORY'S DETAILS

Name _____
(Mr./Mrs./Dr./Miss/Other) First Name Middle Name Surname

Date of Birth ____/____/____ Place of Birth _____
DD MM YYYY

Nationality _____ Marital Status _____

Residential Address _____

Telephone Numbers (1) _____ (2) _____

Email _____ Occupation _____

Job Title _____

Type of Identification _____ ID No: _____ Expiration Date ____/____/____
DD MM YYYY

Mother's Maiden Name _____
First Name Middle Name Surname

Type of Identification _____ ID No: _____ Expiration Date ____/____/____
DD MM YYYY

Mother's Maiden Name _____
First Name Middle Name Surname

Signature

3. ACCOUNT SIGNATORY'S DETAILS

Name _____
 (Mr./Mrs./Dr./Miss/Other) First Name Middle Name Surname

Date of Birth ____/____/____ **Place of Birth** _____
 DD MM YYYY

Nationality _____ **Marital Status** _____

Residential Address _____

Telephone Numbers (1) _____ (2) _____

Email _____ **Occupation** _____

Job Title _____

Type of Identification _____ **ID No:** _____ **Expiration Date** ____/____/____
 DD MM YYYY

Mother's Maiden Name _____
 First Name Middle Name Surname

Type of Identification _____ **ID No:** _____ **Expiration Date** ____/____/____
 DD MM YYYY

Mother's Maiden Name _____
 First Name Middle Name Surname

Signature

DIRECTOR'S / PARTNER'S DETAILS

Name _____
 (Mr./Mrs./Dr./Miss/Other) First Name Middle Name Surname

Date of Birth ____/____/____ **Place of Birth** _____
 DD MM YYYY

Nationality _____ **Marital Status** _____

Residential Address _____

Telephone Numbers (1) _____ (2) _____

Email _____ **Occupation** _____

Job Title _____

Status as a Director: Executive Director Non-Executive Director Managing Director/Chief Executive Officer

Type of Identification _____ **ID No:** _____ **Expiration Date** ____/____/____
 DD MM YYYY

Mother's Maiden Name _____
 First Name Middle Name Surname

DIRECTOR'S/ PARTNER'S DETAILS

Name _____
 (Mr./Mrs./Dr./Miss/Other) First Name Middle Name Surname

Date of Birth ____/____/____ **Place of Birth** _____
 DD MM YYYY

Nationality _____ **Marital Status** _____

INVESTMENT DETAILS

Residential Address _____

Telephone Numbers (1) _____ **(2)** _____

Email _____ **Occupation** _____

Job Title _____

Status as a Director: Executive Director Non-Executive Director Managing Director/Chief Executive Officer

Type of Identification _____ **ID No:** _____ **Expiration Date** ____/____/____
DD MM YYYY

Mother's Maiden Name _____
First Name Middle Name Surname

Type of Fund: SEM Money Plus Fund SEM Income Fund SEM All-Africa Equity Fund

Amount Payable: GHC _____ **Amount in Words:** _____

Mode of Payment: Cash Cheque Bank Transfer Mobile Money Other _____

How do you plan to make your contribution? Quarterly Monthly Weekly Daily Other

DIVIDENDS AND CAPITAL GAINS DISTRIBUTION (Only for SEM Income Fund Account)

Do you want your periodic income paid out to you? Yes No

Mode of payment: Cheque Bank Transfer Mobile Money Bankers Draft

Bank Name: _____ **Bank A/c No:** _____ **Branch:** _____

PURPOSE OF ACCOUNT

Retirement Education Income Travel Mortgage / Housing

Other (Please specify) _____

RISK ASSESSMENT

Level of Risk Tolerance: High Medium Low

What is the level of your investment knowledge? High Medium Low

When do you plan to withdraw a significant portion of your money Less than 1year 1 to 2 years 3 to 5 years More than 5 years

How did you hear about our fund (s) you're investing in: Newspaper Radio Website Family/Friend

MANAGEMENT AGREEMENT

SEM Money Plus Fund is an open-ended mutual fund. The investment objective of the fund is to earn a high rate of interest income and at the same time to preserve capital and maintain liquidity by investing primarily in money market instruments, generally maturing in not more than 365 days. You may invest a lump sum at start and watch your investment grow. The fund does not attract an entry or exit fee.

SEM Income Fund is an open-ended mutual fund. The fund seeks to preserve and enhance shareholders capital and to meet medium to-long term income goals. The Fund will pay income dividends quarterly from its net investment income. Capital gains, if any, may be paid at least annually. The amount of any distribution will vary, and there is no guarantee the fund will pay capital gains distribution. Your income dividends and capital gain will be automatically reinvested in additional shares at net asset value unless you opt to receive them in cash. Withdrawals made before two years will attract an exit load of 2%. We advise shareholders to invest for a minimum period of 2 years.

SEM All-Africa Equity Fund is an open-ended equity mutual fund. The main objective of the fund is to provide steady long-term capital appreciation and dividend distribution through investments in a regionally diversified portfolio of listed equities and equity-related instruments. The fund will invest across markets in Africa in order to achieve optimal diversification with minimal volatility. Withdrawals made before two years will attract an exit load of 2%. The recommended minimum holding period is 3 to 5 years. The fund is designed for investors with a long-term investment horizon and seek potential for higher long-term returns through equity exposures.

Regular Investment Plan. We advise that you sign up to our periodic investment plan. If you intend to make regular/monthly contribution to your investment account, we recommend a Standing Order or an Automated Clearing House (ACH) debit with your bankers. Our staff can assist you to set up a Standing Order or an ACH debit with your bankers. The minimum maintenance balance on your investment account must be GHC 50.00. If your account balance falls below GHC 50.00, your investment will be liquidated and paid to you.

PRIVACY NOTICE

SEM Capital Management Ltd. is committed to a culture that respects the privacy of investors through ensuring the security of personal information collected about them. In providing services to you, SEM Capital will from time to time collect personal information from you in order to provide you with a range of financial services. Only information that is required by the Anti-Money Laundering Act, 2008 and necessary to comply with the fiduciary requirement to have a "reasonable basis" for our recommendations will be collected. In order to fulfill our commitment to privacy, measures have been put in place to minimize the risk of unauthorized access to or loss of personal information. Please note that you can elect not to provide your personal information. However, by not providing your personal information, we may not be able to offer all of our services to you and that any investment advice that you do receive will be on a limited basis.

SIGNATURES

Please indicate:

One to sign

Two to Sign

Three to Sign

AS WITNESS the hands for and on behalf of the parties, we agree with the terms as stated in the management agreement section of this form:

FOR AND ON BEHALF OF

Name of Company: _____

Name (Authorized Person): _____

Signature (Authorized Person): _____ **Date** ____/____/____
DD MM YYYY

Name (Authorized Person): _____

Signature (Authorized Person): _____ **Date** ____/____/____
DD MM YYYY

In the presence of:

Name: _____

Signature (Authorized Person): _____ **Date** ____/____/____
DD MM YYYY

Address: _____

Other (Please specify) _____

OFFICIAL USE ONLY

APPROVALS:

Investment Representative

Compliance Officer

Name: _____

Name: _____

Signed: _____

Signed: _____

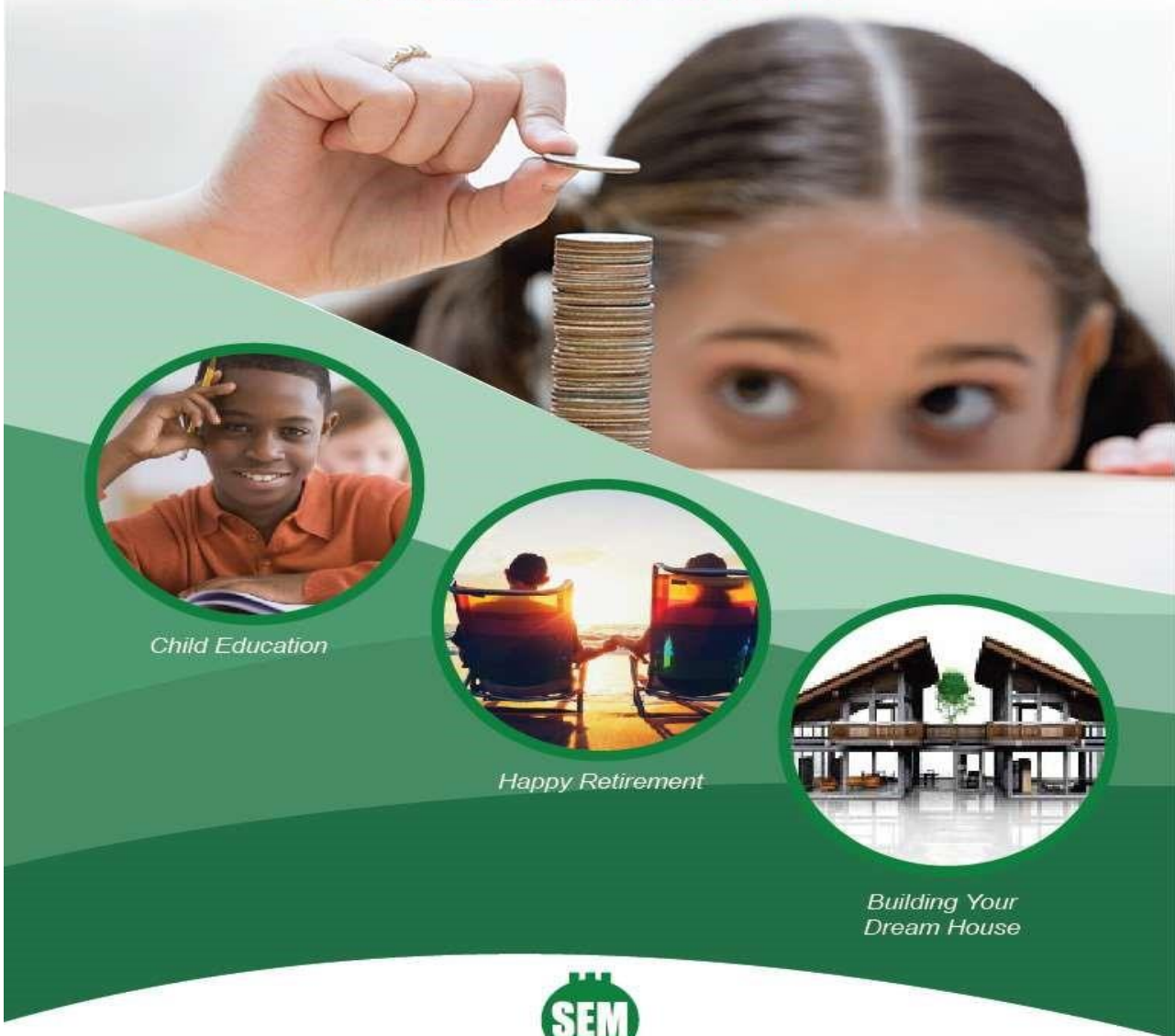
Date: ____ / ____ / ____
DD MM YYYY

Date: ____ / ____ / ____
DD MM YYYY

PEP PEP RISK RATING HIGH LOW

Securing Your Future with SEM Mutual Funds

- SEM MONEY PLUS FUND
- SEM INCOME FUND
- SEM ALL-AFRICA EQUITY FUND



Child Education



Happy Retirement



*Building Your
Dream House*



SEM Capital Management Limited

Phone: 030-707-9256 / 030-223-8382

Website: www.semcapitalgh.com

The first choice of every investor

